



InvestingFox is a trade name of CAPITAL MARKETS, o.c.p., a.s., with its registered office at Slávičie údolie 106, Bratislava – Staré Mesto borough, 811 02 Bratislava. The company is registered in the Commercial Register of the Municipal Court Bratislava III, Section: Sa, File No.: 4295/B, Company ID (IČO): 36 853 054, Tax ID (DIČ): 2022505419. CAPITAL MARKETS, o.c.p., a.s. is a securities trader pursuant to Section 55(1) of Act No. 566/2001 Coll. on Securities and Investment Services and on amendments and supplements to certain acts, as amended (hereinafter referred to as the “Securities Act”). On 30 October 2007, it was granted, by decision of the National Bank of Slovakia No. OPK-2297/2007-PLP, a license to provide investment services pursuant to Section 54(2) in conjunction with Section 59(2) and (3) of the Securities Act (hereinafter referred to as “CM”).

TAX RESIDENCY DECLARATION

(CRS & FATCA) – INDIVIDUAL

Introductory Provisions and Legal Framework

In accordance with Act No. 359/2015 Coll. on the Automatic Exchange of Information on Financial Accounts for Tax Administration Purposes (hereinafter referred to as the “Act”), CM is obliged to identify and verify the tax residency of its Clients. The Act reflects the global Common Reporting Standard (CRS) developed by the EU and the OECD. At the same time, based on the agreement between the Slovak Republic and the USA (FATCA), CM is required to request the declaration below.

Warning: Failure to comply with this obligation or refusal to provide this declaration may result in CM refusing to provide services.

1. CLIENT INFORMATION

Full name:

Date and place of birth:

Business address (if different from permanent residence address):
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2. TAX RESIDENCY DECLARATION



I hereby declare that:

A) Relationship to the USA (FATCA)

Please tick one option:

- I AM NOT a U.S. tax resident*
- I AM a U.S. tax resident*

*One option must be selected. The definition of a U.S. tax resident is provided at the end of this document.

B) Tax Residency (CRS)

Please tick and complete the applicable options:

- I am a tax resident of the Slovak Republic

Personal identification number:

- I am a tax resident of another country

Country name:

Tax Identification Number (TIN):

(If you are a tax resident in more than one country, please complete the following fields as well)

- Additional country of residence:

Country name:

Tax Identification Number (TIN):

- Additional country of residence:

Country name:

Tax Identification Number (TIN):

Note: If no TIN is issued in a country with which automatic exchange of information applies, please provide another number used for tax purposes (e.g., social security number, personal identification number, etc.).



3. FINAL DECLARATIONS AND SIGNATURE

1. I, the undersigned, hereby undertake to notify CM without undue delay of any change to the information provided above during the course of the business relationship.
2. I undertake to provide CM, upon request, with any additional documents for the purpose of verifying this declaration.
3. I acknowledge that providing false information may result in criminal liability.
4. CM informs the Client that the Client's data (including the amount of funds) will be provided once a year, in accordance with the Act, to the tax authorities of the state where the Client is a resident, through the Financial Directorate of the Slovak Republic.

Date:

Client's signature:

ANNEX: Definition of a U.S. Tax Resident

A U.S. tax resident is an individual who meets one of the following criteria:

1. A person who is a U.S. citizen (including dual citizens).
2. A person who has obtained the right of permanent residence in the USA (Green Card).
3. A person who elects U.S. tax residency.
4. A person who is physically present in the USA for at least 31 days during the given year and 183 days during a three-year period (including the current year and the two preceding years).

Day-count calculation: All days in the current year (coefficient 1), 1/3 of the days in the first preceding year, and 1/6 of the days in the second preceding year.

Contact: <https://investingfox.com/sk/contact-us>